Goals / Benefits

Create learning that lasts at five levels

- **Business:** Aligned and committed to achieve our IA goals for 2018
- **Organization:** Clarity on how we will engage each of our organizations to further develop our confidence and competence in Internal Auditing
- **Team:** 1. Aligned our norms, Offers & Expectations. 2. Addressed key issues / barriers that prevent us from working more effectively as a high performance IA team. 3. A better appreciation for the strengths of our own team’s diversity
- **Professional:** 1. Learned the stages of internal auditing, so we are more confident and competent using the tools & techniques to improve our Quality and Audit results in 2018. 2. Identified and addressed our confidence and competency gaps.
- **Personal:** 1. A better appreciation for who is on our team. 2. Created a sense of collective ownership for the success of internal auditing tools & techniques and adding value to business. 3. A feeling that together we will make our work and roles more successful. 4. Had fun

Why is this program helpful for internal auditors?

It is common to find many internal auditors wanting to learn and deliver their best audit results. The biggest challenge is that there is often no “coach” or help within the department or there is just no time and adequate resources to help the Auditor get a solid foundation in internal auditing.

This workshop aims to help Internal Auditors to develop confidence and practice key skills, competencies, new behaviors and team development concepts and tools in Effective auditing tools and techniques: Ensuring Internal Audit’s Value.
We have used a 30 years old proven and unique learning methodology called ARL® (Action Reflection Learning) to produce significant transformation (behaviours) and innovation (business processes).

To begin a career in Internal Auditing, the Auditor needs to start his/her learning journey building confidence and competencies to succeed. The Auditor needs to acquire relevant knowledge and develop key auditing skills that will help in performing his/her role effectively.

Developing a strong foundation in Internal Auditing requires a learning journey. We have used a 30 years old proven and unique learning methodology called ARL® (Action Reflection Learning) to produce significant transformation (behaviours) and innovation (business processes) in internal auditing.

What is this ARL® Framework?

We use several ARL® tools, concepts and principles to deliver real learning and results in internal auditing. This program is developed and delivered in our 4 day learning experience in the following 3 steps;

**Step 1:** We begin by running a quick survey will gather inputs into the design which has to be relevant to the needs of the participants.

**Step 2:** We deliver the program face to face over 4 days at a selected venue. All participants will find this learning program very engaging and productive as we address real issues and challenges and solutions in the internal auditing roles. The classroom outputs are captured for future learning. At the end, the participants leave with their action plans to execute over next 90 days and receive the ADM (as delivered manual)

**Step 3:** Learning support continues through online and offline support from the learning coach from the end of stage 2 till stage 4.
What will be delivered in this learning program?
We address the knowledge and skill needs over the stages in internal auditing.

Basics: General knowledge and clarity around IA Roles & responsibilities

- Definition and Alignment with IA vision, mission and strategy
- Annual audit plan – planning and delivery
- Roles & responsibilities of Internal auditors – core services & value added opportunities
- IIA standards, IPPF, COSO framework, Code of ethics and others
- Stakeholder engagement & challenges
- Quality Assurance in IA
- Types of IA engagements
- Lines of defence model

Stage 1: Scoping & planning the audit assignment

- Understanding control environment
- Identifying relevant Risks - assessment & management
- Data Analytics
- Develop audit strategy
- Risk based IA
- 7 steps Audit Methodology and process timelines
- Fraud risk assessment
- Audit Programs
- Data requests
- Assignment hand off
Stage 2: Fieldwork

- Opening Meetings
- Designing questions
- Testing
- Interviewing
- Work Papers standards & evidence
- Interim Audit Issues & Recommendations
- Rating Audit Issues
- Closing Meeting

Stage 3: Reporting

- Reporting process flows
- Final audit issues & recommendations - templates
- Co-designing practical recommendations
- Selling sensitive audit findings/ results
- Management responses
- Final audit reports

Stage 4: Monitoring and follow up

- Follow up for audit reports
- Closing audit issues
- Handling challenges in closing audit issues

Stage 5: Quality assurance and Improvement program

- 6 areas for Customer feedback
- Auditor Evaluations/ Feedback/ Learning Partners
- Continuous improvement of IA processes
- QA over entire IA activity
- Teamwork for high performance IA teams
- Automation and efficiencies
- Problem solving and innovation in IA
IA Learning Coach

This program is custom designed and delivered by Gurbakhish (GB) an experienced internal auditor with 43 years' work experience which includes 25 years in Governance, Risk and Internal audit.

Gurbakhish, GB
CEO, LIMGLOBAL Malaysia
Australia & Malaysia

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gb@limglobal.net

Completed Professional Examination – 1987
Fellow Member – 1994

Certified Internal Auditor® CIA® - 2003
Accreditation in Internal Quality Assessment / Validation – Oct 2003

Certified Instructor in QES - 1992

Certified Action Reflection Learning® Coach - 2007

Working Experience
(43 years)

AWARD
Institute Plaque from CIMA London in March 2009 for long service as council member, CIMA Malaysia Division.

Specialization Area (Experience)

- Internal Audit
- Risk Management
- Corp Gov
- Sales Ops
- Asset Mgt
- Learning & Development

GRC 25 years
F&A 7 years
OPR 11 years
Target Audience

- Internal auditors, with basic experience in internal auditing
- Non-auditors responsible for business-controls, compliance, and quality-audit activities

Fees

Member’s Pricing (FJD): 800
Non Member’s pricing (FJD): 1,000
(Early Bird Fee: 10% discount for registration with full payment received by 9th March 2018)

Registration closes: 21st March 2018

Cpd Points: 24